

Technology Center 2100

| PT                | O-14 | 149  |                    | Application No.                  |                  | Applicant(s)     | ology Cent | Brist DUIC                  |  |
|-------------------|------|--|--------------------|----------------------------------|------------------|------------------|------------|-----------------------------|--|
|                   |      |  |                    | 10/081,620                       | [ ]              | P. Nick Lawrence | e, et al.  |                             |  |
| In an Application |      |  |                    | <b>Docket Number</b> 066300.0132 |                  |                  |            | ing Date<br>bruary 20, 2002 |  |
|                   |      | MAY 0 6 2002   | 1 30 T             | J.S. PATENT DOCUM                | ENTS             |                  |            |                             |  |
|                   |      | DOCUMENT NO.   | DATE               | NAME                             | CLASS            | SUBCLASS         | FILING     | DATE                        |  |
| lk                | A    | 4,625,242DEMA  | 11/25/86           | Baumberg                         | 358              | 209              | 04/2       | 4/85                        |  |
| Ì                 | В    | 4,811,199  | 03/07/89           | Kuechler, et al.                 | 364              | 200              | 05/0       | 8/87                        |  |
| +                 | C    | 4,945,421  | 07/31/90           | Baumberg                         | 358              | 217              | 07/1       | 4/88                        |  |
| 1                 | D    | 4,972,363  | 11/20/90           | Nguyen, et al.                   | 364              | 807              | 02/0       | 1/89                        |  |
| 1                 | E    | 5,093,900  | 03/03/92           | Graf                             | 395              | 24               | 02/13/91   |                             |  |
| 7                 | F    | 5,175,817  | 12/29/92           | Adams, et al.                    | 395              | 200-             | 11/2       | 0/89                        |  |
| 7                 | G    | 5,208,900  | 05/04/93           | Gardner                          | 395              | 27               | 06/08/92   |                             |  |
| †                 | H    | 5,237,678  | 08/17/93           | Kuechler, et al.                 | 395              | 600              | 03/02/89   |                             |  |
| T                 | I    | 5,268,834  | 12/07/93           | Sanner, et al.                   | 364              | 151              | 06/24/91   |                             |  |
| T                 | J    | 5,371,834  | 12/06/94           | Tawel                            | 395              | 23               | 08/28/92   |                             |  |
| T                 | К    | 5,386,558  | 01/31/95           | Maudlin, et al.                  | 395              | 600              | 02/24/92   |                             |  |
| V                 | L    | 5,438,646  | 08/01/95           | Davidian                         | 706              | 41               | 08/19/92   |                             |  |
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| a                 | М    | GB 2 206 428 A   | 01/05/89           | United Kingdom                   | GO6F 15/31       |                  |            |                             |  |
| ſ                 | N    | 0 378 115 A2   | 07/18/90           | ЕРО                              | GO6F 15/80       |                  |            |                             |  |
|                   | 0    | WO 93/14459  | 07/22/93           | PCT                              | G06F 15/16       |                  |            |                             |  |
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| let               | P    | "XP-002111789" paper from internet at www.lt.com, Lawrence Technologies, 1996 (2 pages). |                    |                                  |                  |                  |            | 1996                        |  |
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|                   | R    | 08/24/99.  | Report for Interna | ational Application No. PC       | T/US 99/06028, M | lailed           | 1999       |                             |  |
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| PTO-1449  Information Diselosure Citation In an Application |             |  |                    | 10/081,620                       |                   | P. Nick Lawrence, et al.         |          |              |  |
|---|-------------|--|--------------------|----------------------------------|-------------------|----------------------------------|----------|--------------|--|
|   |             |  |                    | <b>Docket Number</b> 066300.0132 | Group Art 2166 21 | Filing Date<br>February 20, 2002 |          |              |  |
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| ex  | A           | 5,446,681  | 08/29/95           | Gethner, et al.                  | 702               | 27                               | 09/0     | 2/94         |  |
|   | В           | 5,680,475  | 10/21/97           | Zwierski, et al.                 | 382               | 156                              | 04/1     | 8/95         |  |
| $\dagger \dagger$   | С           | 5,822,741  | 10/13/98           | Fischthal                        | 706               | 16                               | 02/0     | 5/96         |  |
| $\dagger \dagger$   | D           | 5,918,232  | 06/29/99           | Pouschine, et al.                | 707               | 103                              | 11/2     | 6/97         |  |
| $\dagger \dagger$   | E           | 6,026,397  | 02/15/00           | Sheppard                         | 707               | 5                                | 05/2     | 2/96         |  |
| $\dagger \dagger$   | F           | 6,167,391  | 12/26/00           | Lawrence                         | 706               | -26                              | 03/1     | 9/98-        |  |
| ††  | G           | 5,551,027  | 08/27/96           | Choy et al.                      | 395               | 600                              | 09/1     | 1/95         |  |
| #   | Н           | 5,675,786  | 10/07/97           | McKee et al.                     | 395               | 614                              | 01/3     | 0/95         |  |
| $\dagger \dagger$   | I           | 5,752,019  | 05/12/98           | Rigoutsos et al.                 | 395               | 603                              | 12/2     | 2/95         |  |
| +   | J           | 5,799,301  | 08/25/98           | Castelli et al.                  | 707               | 6                                | 08/1     | 0/95         |  |
| 1   | K           | 5,864,848  | 01/26/99           | Horvitz et al.                   | 707               | 6                                | 01/3     | 31/97        |  |
|   | L           | 5,930,789  | 07/27/99           | Agrawal et al.                   | 707               | 6                                | 08/28/97 |              |  |
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|   |             | DOCUMENTION  |                    |                                  |                   |                                  | YES      | NO           |  |
|   | M           |  |                    | <u>.</u>                         |                   |                                  |          |              |  |
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| 500   | Q           | "Corobs and Neurophysiology: A White Paper," Lawrence Technologies. (15 pages).  |                    |                                  |                   |                                  | 05/      | 05/26/98     |  |
| CAR.  | R           | U.S. Patent Application Serial No. 09/938,948, "System and Methods for Generating String Correlithm Objects," filed by P. Nick Lawrence (Attorney Docket No. 066300.0125). |                    |                                  |                   |                                  |          |              |  |
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| PTO-1449  |  |                                 |                     | Application No. 10/081,620       |                     | Applicant(s) P. Nick Lawrence, et al. |                          |                              |  |
|---|--|---------------------------------|---------------------|----------------------------------|---------------------|---------------------------------------|--------------------------|------------------------------|--|
| Information Disclosure Sitation In an Application               |  |                                 | FEGItation<br>ition | <b>Docket Number</b> 066300.0132 | Group Art<br>2166 Z |                                       | iling Date<br>ebruary 20 | ling Date<br>bruary 20, 2002 |  |
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| ELK.  | A  | 5,930,803                       | 07/27/99            | Becker et al.                    | 707                 | 104                                   | 04.                      | /30/97                       |  |
|   | В  | 5,940,825                       | 08/17/99            | Castelli et al.                  | 707                 | 6                                     | 10                       | )/4/96                       |  |
| 110   |  | 5,960,194                       | 09/28/99            | Choy et al.                      | 395                 | 613                                   | 07.                      | /17/96                       |  |
| I   | 5  | 5,983,224                       | 11/09/99            | Singh et al.                     | 707                 | 6                                     | 10/                      | 10/31/97                     |  |
| E   | 3  | 6,009,424                       | 12/28/99            | Lepage et al.                    | 707                 | 6                                     | 09/                      | 03/97                        |  |
| F   | 7  | 6,044,366                       | 03/28/00            | Graffe et al.                    | 707                 | 2                                     | 03/                      | 16/98                        |  |
| (   | 3  | 6,085,226                       | 07/04/00            | Horvitz                          | 709                 | 203                                   | 01/                      | 01/15/98                     |  |
| F   | 1  | 6,134,555                       | 10/17/00            | Chadha et al.                    | 707                 | 102                                   | 02/                      | 02/09/98                     |  |
| 1   |  | 6,236,985                       | 05/22/01            | Aggarwal et al.                  | 707                 | 2                                     | 70/                      | 70/07/98                     |  |
| J   |  | 6,263,334                       | 07/17/01            | Fayyad et al.                    | 707                 | 5 .                                   | 11/                      | 11/11/98                     |  |
| K   |  | 6,263,337                       | 07/17/01            | Fayyad et al.                    | 707                 | 6                                     | 05/                      | 05/22/98                     |  |
| L   | 7  | 6,269,376                       | 07/31/01            | Dhillon et al.                   | 707                 | 101                                   | 10/                      | 10/26/98                     |  |
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| Q   | +  | Autonomy Technology White Paper |                     |                                  |                     |                                       |                          | 2001                         |  |
| R   | Autonomy web site, "Intellectual Foundations," http://www.autonomy.com/autonomy_v3/ Content/Technology/Background/IntellectualFoundations, 2 pages |                                 |                     |                                  |                     |                                       | 1                        | Printed 05/01/02             |  |
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